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	UTILIT

02-25-02

UTILITED PATENT APPLICATION

First Named Inventor or Application Identifier

Hofgen, et al.

Attorney Docket No

(only for new nonprovisional applications under 37 CFR 1.53(b))

TRANSMITTAL

Express Mail Label No. EL 829644216 US

0/081642 0/081642 2/21/52

APPLICATION ELEMENTS

See MPEP Chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

Total Pages

- 1. ■Fee Transmittal Form (attached hereto in duplicate)
- 2. Specification [Total Pages 42] (Preferred arrangement set forth below)
 - Descriptive Title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R&D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
- 3. □Drawing(s)(35 USC 113) [Total Sheets]
- 4. Oath or Declaration
 - a. □ Newly executed (original or copy)
 - b. ■Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional check boxes 5 and 16)
 - i. ☐ Deletion of Inventor(s)

Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

5. ■ Incorporation by Reference (useable if Box 4b is checked)

The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

- 6. ☐ Microfiche Computer Program (Appendix)
- 7. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- a. □Computer Readable Copy
- b. □Paper Copy (identical to computer copy)
- c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

- 8. ☐ Assignment Papers (cover sheet & document(s))
- 9. ☐ 37 CFR 3.73(b) Statement
- (when there is an asignee) □Power of Attorney
- 10. ☐ English Translation Document (if applicable)
- 11.□ Information Disclosure Statement

(IDS)/PTO-1449 □Copies of IDS Citations

Reg. No. 39,155

- 12.□ Preliminary Amendment
- 13.■ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
- 14. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
- 15. ☐ Other:

16. If a	CONTINUING APPLICATION.	check appropriate box and	supply	the red	auisite i	nformation
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■Continuation of U.S. Serial No. 09/653,685 filed September 1, 2000, which is a divisional application of U.S. Serial No.09/300,973 filed April 28, 1999, (now U.S. Patent No. 6,251,923).

18. CORRESPONDENCE ADDRESS

19. TELEPHONE CONTACT Please direct all telephone calls or telefaxes to James R. Crawford at:

Telephone: (212) 318-3148 Fax: (212) 318-3400

■ Customer Number or Bar Code Label 24972 or □ Correspondence Address below

19. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

NAME James R. Crawford

SIGNATURE Jame & Crawle

DATE February 2, 2002





PTO/SB/17 (10-01)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

Complete if Known						
Application Number Not Yet Assigned						
Filing Date						
First Named Inventor	Hofgen, et al.					
Examiner Name	Not Yet Assigned					
Group Art Unit	N/A					
Attorney Docket No.	NY-HUBR-1203 2Cont					

			Group Art Unit					NY LILIDO 4000 00			
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	M	ETHOD OF PAY	MENT		FEE CALCULATION (continued				_ATION (continued)		
The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:				1	3. ADDITIONAL FEES						
Deposit Account Number	count 50-0624		Large Entity Small Entity Fee Fee Fee Fee Code (\$) Code (\$)		-		Fee Description	Fee Paid			
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X Charg	ge Any Addi	tional	Applicant c		139	130	139	130		nglish specification	
Fee Required Underentity status. See 37 CFR 1.16 and 1.17 37 CFR 1.27		147	2,520	147	2,520		g a request for ex parte reexamination				
2. X	Paymen	t Enclosed			112	920*	112	920*		sting publication of SIR prior to ner action	
X Chec	, <u> </u>		oney Order	Other	113	1,840*	113	1,840*		sting publication of SIR after ner action	
		FEE CALCULAT	ION		115	110	215	55	Extens	ion for reply within first month	
1. BASI	C FILING	FEE			116	400	216	200	Extens	ion for reply within second month	
Large En	tity Sm	all Entity			117	920	217	460	Extens	ion for reply within third month	
	Fee Fe (\$) Co		scription	Fee Paid	118	1,440	218	720	Extens	ion for reply within fourth month	
	740 20	, ,	g fee	740.00	128	1,960	228	980	Extens	ion for reply within fifth month	
106	330 20	6 165 Design fil	ing fee		119	320	219	160	Notice	of Appeal	
107	510 20	7 255 Plant filing	g fee		120	320	220	160	Filing a	brief in support of an appeal	
108	740 20	8 370 Reissue f	iling fee		121	280	221	140	Reques	st for oral hearing	
114	160 21	4 80 Provision	al filing fee		138	1,510	138	1,510	Petition	to institute a public use proceeding	
		CURTOTAL (4)	(4)	740.00	140	110	240	55	Petition	to revive – unavoidable	
		SUBTOTAL (1)	(\$)	740.00	141	1,280	241	640	Petition	to revive - unintentional	
2. EXTR	A CLAIN	I FEES Extra F	ee from below	Fee Paid	142	1,280	242	640	Utility is	ssue fee (or reissue)	
Total Claim	s 20	-20** = 2 X	18 =		143	460	243	230	Design	issue fee	
ndepender	1t 1	-3** = x			144	620	244	310	Plant is	sue fee	
Claims Multiple De	pendent				122	130	122	130	Petition	ns to the Commissioner	
	•				123	50	123	50	Proces	sing fee under 37 CFR 1.17(q)	
Large Enti	ty Small	Entity			126	180	126	180	Submis	ssion of Information Disclosure Stmt	
Fee Fee Code (\$)		Fee (\$)	Description	n	581	40	581	40		ling each patent assignment per y (times number of properties)	
103 18 102 84		9 Claims in exce		ess of 3	146	740	246	370		submission after final rejection R 1.129(a))	
104 28		140 Multiple depen			149	740	249	370		ch additional invention to be led (37CFR 1.129(b))	
109 84	209	42 ** Reissue ind		aims	179	740	279	370		st for Continued Examination (RCE)	
		over ongina	l patent ims in exces	s of 20	169	900	169	900		st for expedited examination sign application	
110 18	3 210		ginal patent		Other	fee (spec	ify) 95	58	ISA - U	S	
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or numi	uer previou	sly paid, if greater; Fo	r reissues,	SOG ADOVE							

SUBMITTED BY Complete (if applicable)					
Name (print/type) James R. Crawford	Registration No. (Attorney/Agent)	39,155	Telephone	(212) 318-3148	
Signature Ham K Cam	1		Date	February 2 ⊉ , 2002	